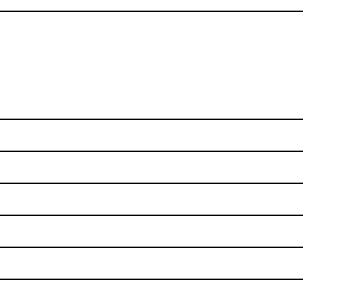
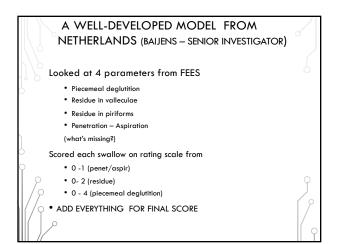


PUBLISHED SCORING SYSTEMS

Many described in the literature; most not validated For the most part, they score bolus parameters..... Spillage, penetration, aspiration, residue





1

# VALIDATION OF THE MODEL

- Pilz W, et al. Good IRR on these parameters
- Verdonschot Found significant associations of piecemeal deglutition and vallecular residue – with QOL (anxiety, depression)
- Pilz, et al. Observers' agreement on measurements in fiberoptic endoscopic evaluation of swallowing. Dysphagia. 2016;31(2):180–7.
- Verdonschot RJ, et al. The relationship between fiberoptic endoscopic evaluation of swallowing outcome and symptoms of anxiety and depression in dysphagic patients. Laryngoscope 2016; 126(5): E199–207.

# ANOTHER EXTERNAL VALIDATION STUDY

- Florie Found signif association between FEES bolus parameters and MDADI (QOL) in HNC patients
- Florie M, et al. Relationship between swallow-specific quality of life and fiber-optic endoscopic evaluation of swallowing findings in patients with head and neck cancer. Head Neck. 2016;38(Suppl 1):E1848–56.

# BAIJENS - IDENTIFIED DIFFERENT PATTERNS OF DYSPHAGIA 205 patients - 3 groups; HNC, PD, Myotonic dystrophy type 1

Parameters:

- Piecemeal deglutition
- Delayed initiation/ spillage
- Vallecular residue
- Piriform residue
- Penetration aspiration
- Baijens LW, et al. Identifying patterns of FEES-derived swallowing trajectories using group-based trajectory model. Dysphagia. 2015;30(5):529–39.

#### BAIJENS' RESULTS

- Subgroups were revealed acc to parameters they scored low (better) or high (worse) on
  - Myotonic dystrophy patients higher (worse) for residue; low for piecemeal deglut and Pen-As
  - HNC High (worse) for piecemeal deglutition (oral residue) and pen-asp; low(better) for vallecular and piriform residue;
  - PD high (worse) for Delay Init of swallow ; other parameters variable

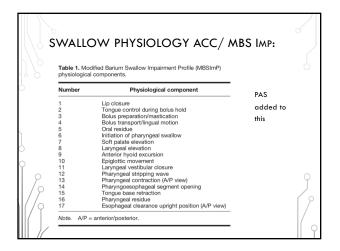
# • WHAT'S MISSING WITH THIS MODEL? • WHY was residue more a problem in Myotonic Dystrophy? WHY was the residue in the valleculae? Or the piriforms?

WHY was the residue in the valleculae? Or the piriforms? WHY did HNC have piecemeal deglutition and more Penet/Aspir? Why did PD have Delayed Initiation?

What do the bolus findings mean? Does it lead to a treatment strategy?

# WHAT IS A USEFUL MODEL? DOES FLUOROSCOPY HAVE ONE?

- Common models in use/ Major patterns of dysfunction for either tool
  - Safety of swallowing vs Efficiency of Swallowing
  - Oral, pharyngeal, esophageal
  - Bolus findings: aspiration, penetration, residue, spillage Criticism: these are only symptoms!!
  - Swallow Physiology parameters What is Swallow Physiology???



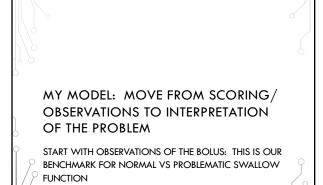


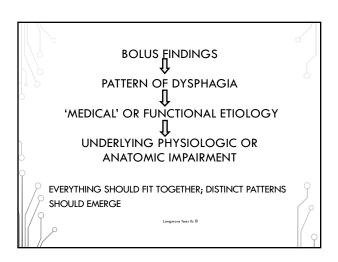
# GARAND: CAN PHENOTYPES BE EXTRACTED FROM MBS IMP?

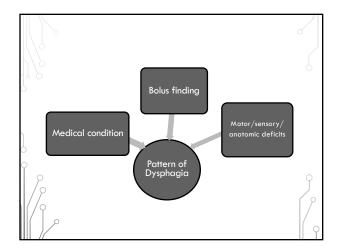
- Gerand (2018) Identification of phenotypic patterns of dysphagia: a proof of concept study, AJSLP
- What is a 'phenotype'? In medicine, it would be a characteristic or trait of a patient or a population of patients with dysphagia; the particular presentation of dysphagia

# METHODS

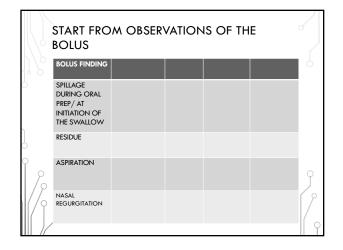
- They sorted the patients by medical etiology (H&N, neurology, pulmonary, & GI) and by primary medical diagnosis and looked for associations in MBSImp parameters with medical diagnoses
- Results: difference in overall severity (H&N, Neuro and Pulm were worse than GI)
- Individual swallow impairments (MBS Imp) revealed some differences eg., pharyngeal stripping wave, but mainly between GI and the 3 other categories
- Their conclusion: This shows potential



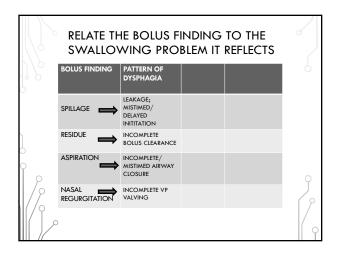




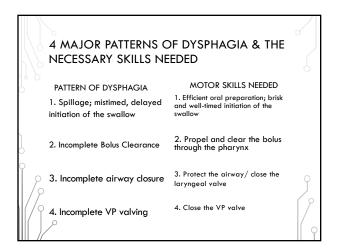


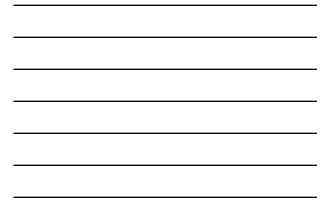












# IDENTIFY THE MEDICAL/ FUNCTIONAL ETIOLOGY

- KNOWN DIAGNOSIS?
- POSSIBLE/ RULE OUT?
- MULTIPLE PROBLEMS?

#### IDENTIFY THE PRIMARY MOTOR DEFICITS/ PARAMETERS UNDERLYING THE MEDICAL PROBLEM

 $\textbf{STRENGTH}-reduced/weak}$ 

SPEED - too fast, slow; slow to start or stop

**RANGE** - decreased or variable (stiff; weak; tone)

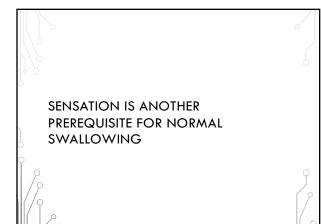
**STEADINESS** – unsteady; rhythmic or arhythmic - tremor, myoclonus, tic, spasm, dystonia, fasciculations

TONE - reduced/flaccid; excessive - spastic/ rigid

ACCURACY/TIMING – consistently inaccurate (eg., if weak) or mistimed, incoordinated

# WHY ADD THE UNDERLYING MOTOR DEFICITS?

- It explains the dysphagia pattern
- It should be consistent with the medical diagnosis
- This is the final step that guides treatment



# **REDUCED SENSATION**

- Sensation can be reduced from loss of sensory receptors, altered sensory receptors, peripheral nerve loss, or central nervous system damage
- Manifested by aberrant motor response DELAYED RESPONSE, LACK OF RESPONSE, REDUCED RESPONSE, REDUCED AWARENESS; loss of intact protective and swallow reflexes.
- Results in spillage, lack of response to residue, lack of response to penetration or aspiration

#### ANATOMIC PARAMETERS ARE ALSO CRITICAL FOR SWALLOWING

- Resection -> missing structures
- Reconstruction -> altered structures
- Surface changes = growths, mucosal
- irregularities, edema, thick, excessive connective tissue
- Foreign body = feeding tube, tumor, osteophyte

# EFFECTS OF ANATOMICAL CHANGES ON SWALLOWING

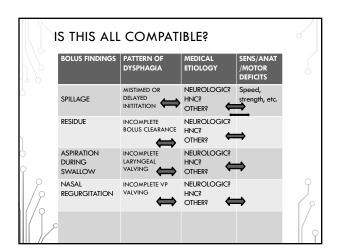
Alters the bolus pathway/ space, channels, for the bolus to flow or reside and normal barriers to keep bolus out.

Can cause......

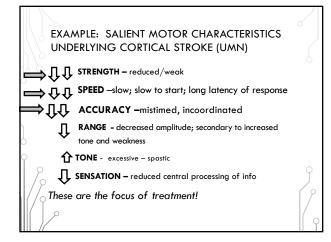
Reduced bolus clearance: - bolus path altered or obstructed  $\rightarrow$ 

Misdirected bolus; affects safety and efficiency Penetration/Aspiration: Is larynx intact? Able to close?

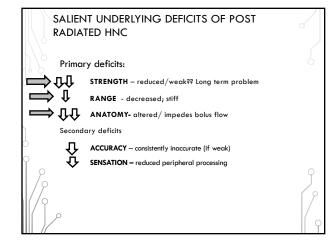
Nasal regurgitation: is the VP sphincter intact?

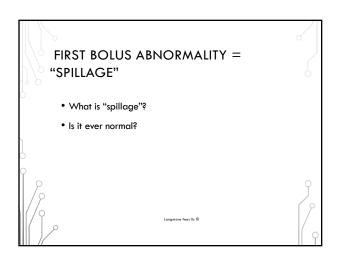


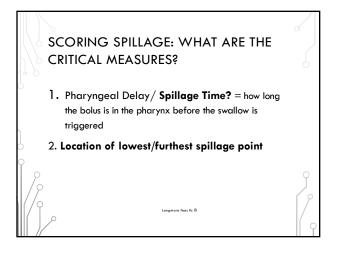


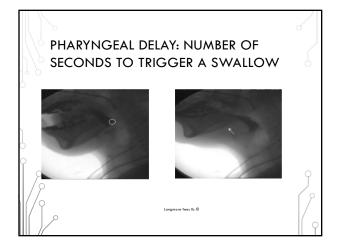


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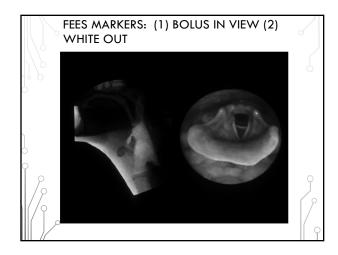


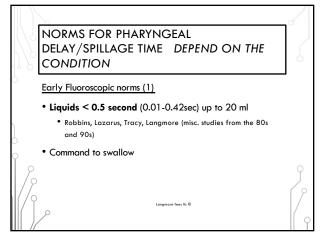


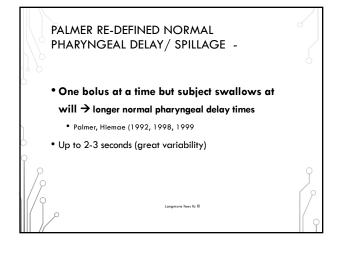


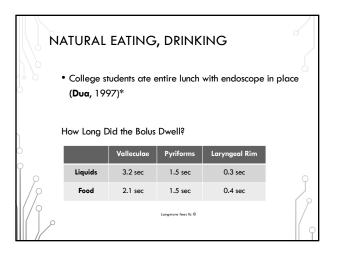






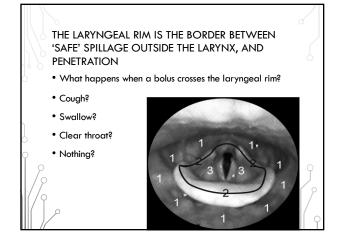


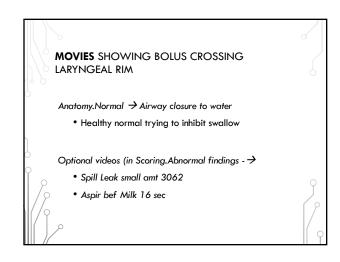


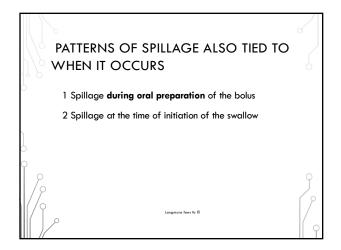


LOCATION/FURTHEST POINT OF SPILLAGE ALSO MEASURED Dua (1997): How Far Did the Bolus Fall?										
]		Valleculae	Pyriforms	Laryngeal Rim	Total					
Q Q	Liquids	37%	11%	12%	60%					
8	Food	40%	2%	34%	76%	9				
*bee leatin	ישנים, Gathreeterology, 1997,112(1):73-83. במתקה Gathreeterology, 1997,112(1):73-83.									

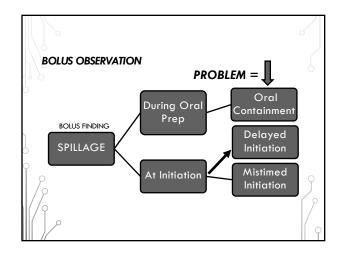




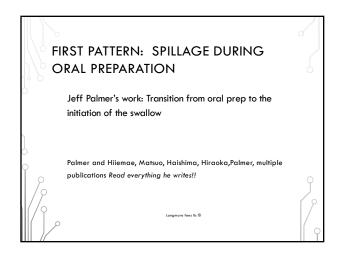




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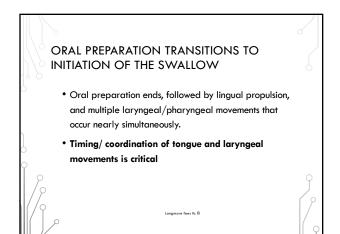


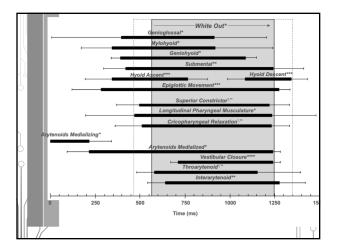


# FOOD AND LIQUID ARE HANDLED DIFFERENTLY DURING ORAL PREP

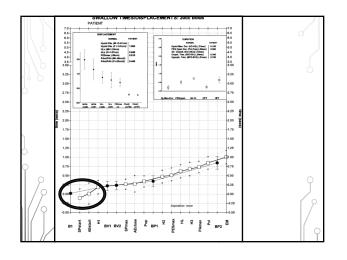
- Oral preparation of food
- Food that is chewed is moved to the back of the tongue and into the valleculae gradually, as the food in the front of the mouth continues to be processed and mixed with saliva.
- Normal time for a masticated bolus to be seen in the HP may be as long as 10 seconds

# WHAT ABOUT LIQUIDS? The entire liquid bolus moves from the oral cavity; moves directly into the esophagus; Any leakage is abnormal; but you might see the leading edge of the entire liquid bolus as it is swallowed More common upward time limit = 1.5 - 2 seconds

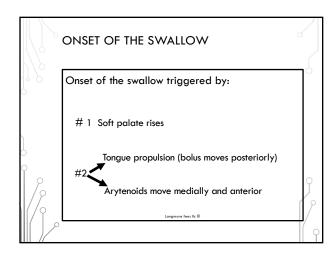


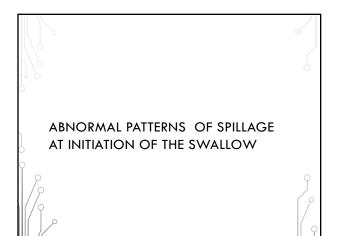




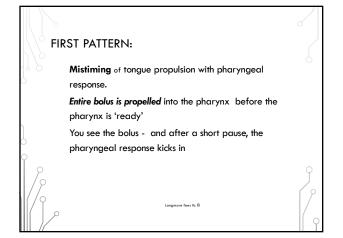


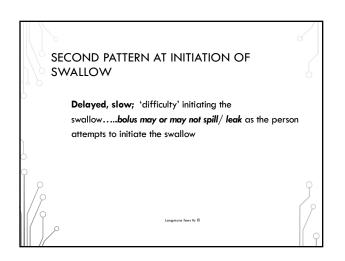


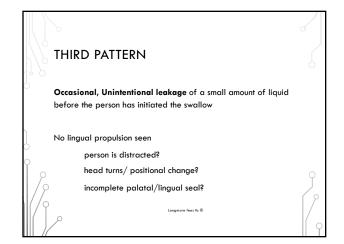








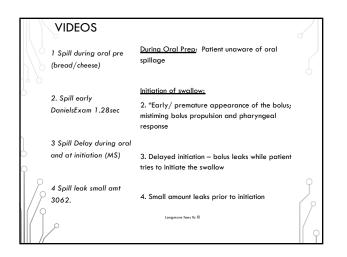


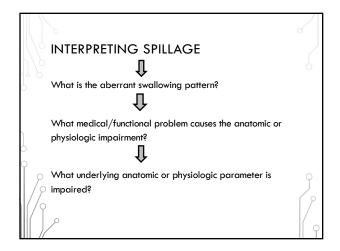


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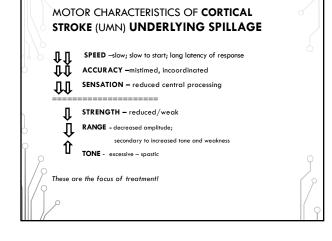
SUMMARY OF SPILLAGE NORMS (STILL NEED MORE RESEARCH!)					
WHEN	CONDITION	LIQUID	FOOD		
During oral prep	Natural eating/ drinking	no spillage	1 – 10 sec		
At Initiation of the swallow if	Command to Swallow given	less than $\frac{1}{2}$ sec	Not studied		
At initiation of the swallow if	One bolus at a time	1 to 2 sec	1 to 3 sec (if spill during oral prep not seen)		
At initiation of the swallow if	Natural eating/ drinking	1 to 3 sec	1 to 10 sec (including accumulation during oral prep)		

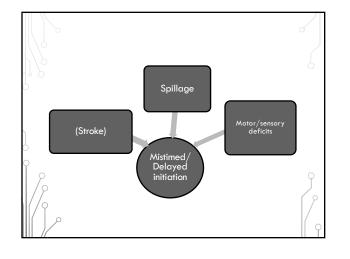


















# RATING RESIDUE IN THE CLINICAL WORLD OR IN RESEARCH • No standard, validated measure used widely for FEES • Usually reported as mild, mod, severe • or present/absent • Never used in research as primary outcome even though it is sometimes the biggest problem • HNC – usually the most prevalent problem • Neuro – a problem if weak

# PISEGNA. (DYSPHAGIA, 2018)

How should we Rate severity of Residue on a FEES?

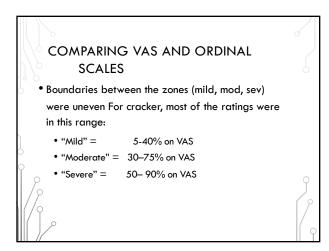
Is a categorical or visual analog scale more reliable among raters?

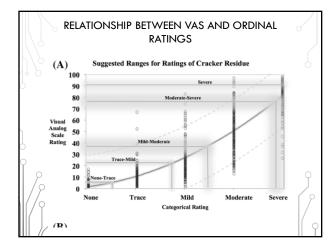
• Ordinal ratings (none, trace, mild, mod, severe)

• Continuous scale (VAS) 0 to 100

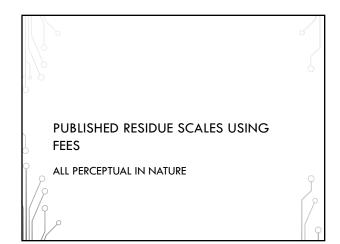
# SHE COMPARED TWO TYPES OF RATINGS

- 33 raters rated 25 cracker, 25 applesauce, 25 thin liquid FEES videos
- They were asked "How much residue overall do you see?"









#### PERCEPTUAL SCALES FOR RATING RESIDUE

#### MBS – Perceptual Rating Scales

- Martin, MBSImp (2008) rate adequacy of pharyngeal wall movement and 3 ratings of amt of residue
- Dejaeger (1997) 4 point rating scalle
- Eisenhuber: (2002) 3 point scale
- MBS DIGEST, OPSE estimate the
- % of the entire bolus that is left in the HP
- FEES -Perceptual Rating Scales
   Langmore, 2001 (text) amount,
- location, awareness, clearing swallows
- Kelly, 2006, 2008
  Farneti 2008, 2014 Pooling Score
- Kaneoka 2014
- Neubauer 2015 Yale Residue Severity Rating Scale

# KELLY'S RESIDUE SCALE 2006, CLIN OTOLARYNGOLOGY

#### • 5 point scale: (for liquid, yoghurt)

- Coating: coating of the pharyngeal mucosa; no pooling
- Mild = mild pooling/residue
- Moderate = moderate polling/residue
- Severe = Severe pooling/residue

# KELLY, 2006 COMPARING RESIDUE ON FEES VS FLUORO

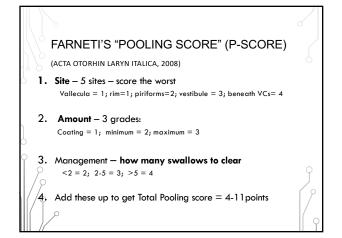
- Simultaneous studies
- 15 patients with dysphagia;
- FEES scores were consistently higher than MBS
  - \* Mean residue score was 1.0 point higher than the mean score on MBS (p <0.001)

# KELLY – 2008: NORMAL AMOUNT OF RESIDUE

- 11 diff anatomic sites rated none, mild, mod, severe
- Normal healthy persons
  21 young; 11 elderly (over 65)
- Reliability testing: 95% agreement kappa = .6 (good)

# KELLY (2008) RESULTS (NORMS)

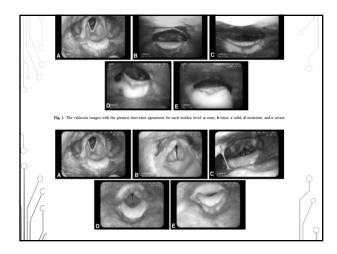
- 92% of the young subjects and 96% of the elderly subjects scored None (or occasionally Coating) for residue
- 1.3% occurrences of penetration (mild or coating)



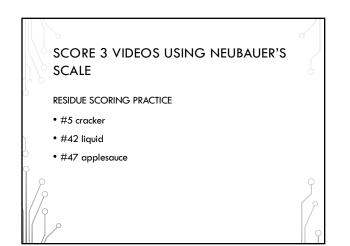
# THE YALE PHARYNGEAL RESIDUE SEVERITY RATING SCALE NEUBAUER, 2015

- 5 point ordinal scale location and amount
- 20 raters rated 13 images for each of 2 locations rated severity 2 weeks apart

# RESULTS Intra rater and inter-rater reliability – good Construct validity (compared to 2 expert raters) – good 0.848 for valleculae and 1.000 for piriforms

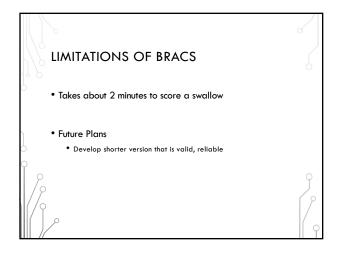


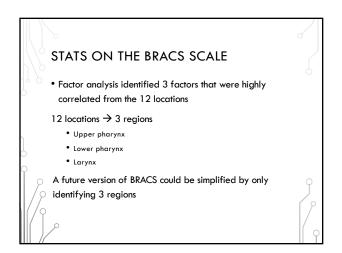


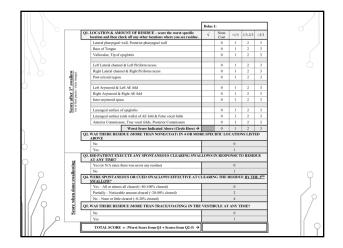


# BRACS: BOSTON RESIDUE AND CLEARING SCALE KANEOKA, ET AL 2014

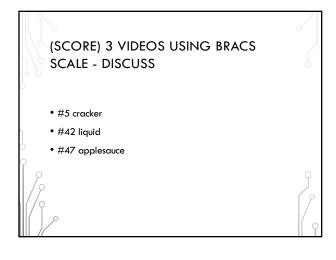
- Takes 4 factors into account:
  - Location
  - Amount Mild(<1/3) Mod(1/3 to 2/3) Severe (>2/3)
  - Any spontaneous swallows to clear?
  - Effectiveness of clearing swallows (no, partly cleared, mostly or all cleared)

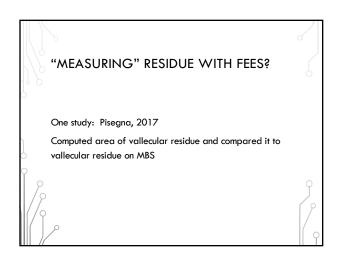


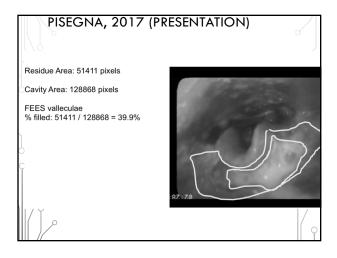


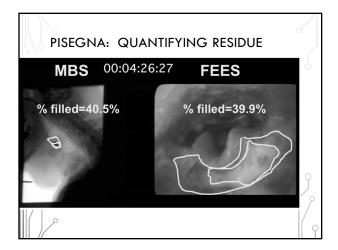


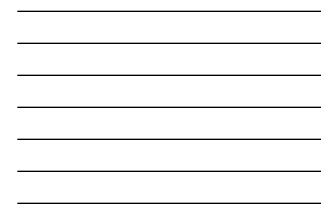






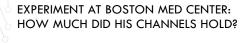








- If measuring the exact volume left in a cavity......
  - Need to know the capacity of a cavity
  - Or the average capacity of the piriforms, lat channels, valleculae

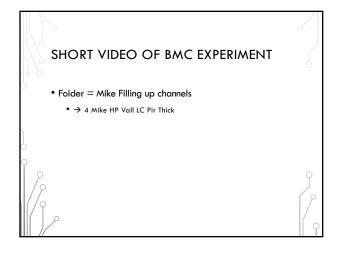


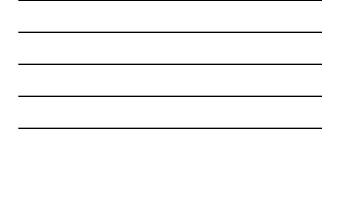
```
• Mike Walsh SLP volunteered
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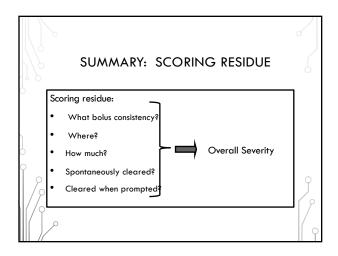
- Infused liquid into HP with syringe = into the vallecula, piriforms
- He suppressed a swallow.
- Measured volume that had been delivered
- Volume in 1 piriform about 2.5 3 ml
  - in both piriforms (& lateral channels)= 5-6 ml

in his valleculae = 1.5 ml

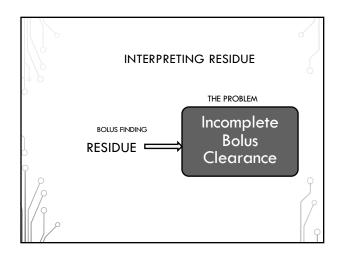
One subject!!



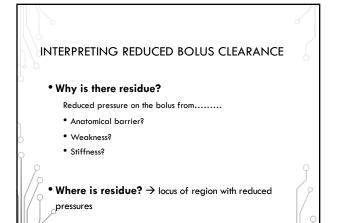


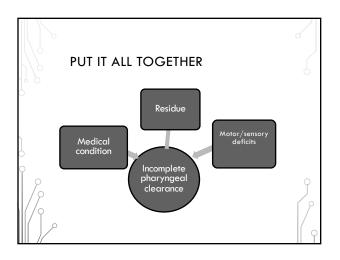












# RESULTING MOTOR DEFICITS

STRENGTH-reduced/weak

 $\ensuremath{\textbf{SPEED}}\xspace -$  too fast, slow; slow to start or stop

**RANGE** - decreased or variable (stiff; weak; tone)

STEADINESS - unsteady; rhythmic or arhythmic - tremor,

myoclonus, tic, spasm, dystonia, fasciculations

TONE - reduced / flaccid ; excessive - spastic / rigid

ACCURACY/TIMING – consistently inaccurate (eg., if weak) or mistimed, incoordinated

EXAMPLES: REDUCED BOLUS CLEARANCE						
BOLUS FINDIN G	PATTERN OF DYSPHAGIA	MEDICAL ETIOLOGY	MOTOR, SENSORY, ANATOMIC IMPAIRMENT			
Residue	Reduced Bolus Clearance	HNC; POST SX; STRUCTURES ALTERED	INACCURATE (BOLUS PATH ALTERED) WEAK IF NERVE DAMAGE			
		HNC; POST CRT	STIFFNESS, REDUCED AMPLITUDE, WEAK; REDUCED SENSATION			
Residue	Reduced Bolus Clearance	STROKE, NEURO DISEASE, MYOPATHY	WEAK, REDUCED AMPLITUDE; REDUCED AWARENESS	0		
Residue	Reduced Bolus Clearance	OTHER STRUCTURAL (FOREIGN BODY OR SURGERY)	INACCURATE,(AT LEVEL OF OBSTRUCTION)	1		
10				6		



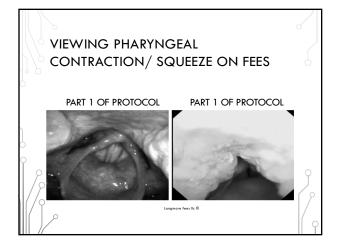
#### MAJOR FORCES FOR PHARYNGEAL CLEARANCE:

- Base of Tongue squeezes against the pharyngeal walls
- as **Pharyngeal muscles** contract to clear the tail of the bolus
- Hyolaryngeal excursion provides counter force to assist pharyngeal constrictors and pharyngeal longitudinal muscles
- If pharyngeal clearance is reduced, residue remains behind

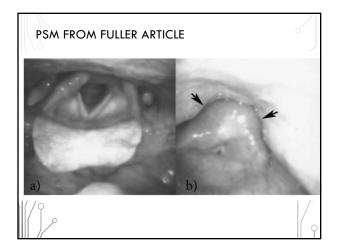
# HOW TO VIEW / RATE PHARYNGEAL CLEARANCE ON FEES Pharyngeal squeeze – Part 1 or during swallowing

White out duration

Residue – amount and location

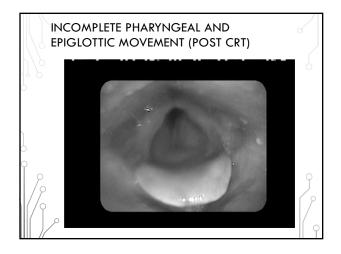




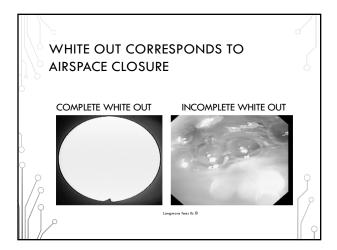


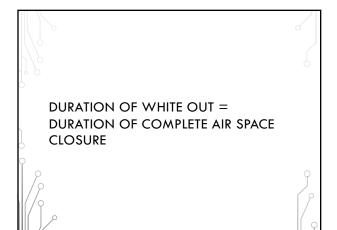












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# (PHARYNGEAL) RESIDUE IS A SURROGATE MEASURE FOR PHARYNGEAL CLEARANCE

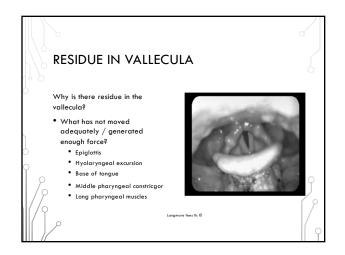
• Residue helps you localize the problem and reflects the severity of the problem

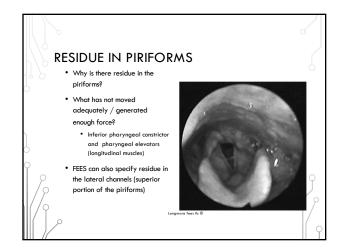
# THE LOCATION OF THE RESIDUE MARKS THE ORIGIN OF REDUCED FORCE Upper pharynx/ Nasopharynx = Levator; Superior constrictor – inserts into lateral tongue and palate

- Base of tongue intrinsic and extrinsic tongue muscles; suprahyoids; middle pharyngeal constrictors
- Mid pharynx/ valleculae = Middle pharyngeal constrictor inserts into hyoid at level of valleculae; hyolaryngeal excursion
- Lower pharynx/ piriforms; Inferior constrictor inserts into thyroid and cricoid cartilages at level of piriforms

# MANOMETRY AND RESIDUE • Manometry is the 'gold standard' – identifying where the pharyngeal pressures are higher, lower • The location of the residue indicates where the pressures were reduced/ incomplete • Verified by several research studies • So rating impairment from amount and location of residue is valid!

# WHAT DOES RESIDUE ON BASE OF TONGUE MEAN? • What has not moved adequately / generated enough force?

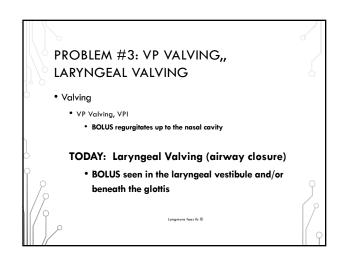


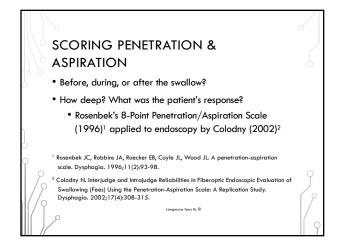


# RESIDUE IN PIRIFORMS COULD BE DUE TO TIGHT UES:

WHAT OPENS THE UES?

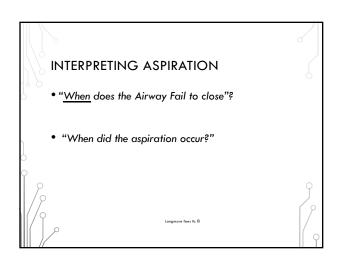
- HYOLARYNGEAL EXCURSION AND PHARYNGEAL ELEVATORS
  - SUPRAHYOID MUSCLES
  - THYROHYOID
  - LONG PHARYNGEAL MUSCLES

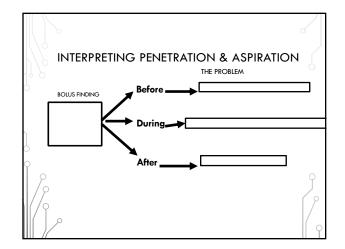






- Colodny 2002 -Interrater reliability of PAS with FEES equivalent to MBS findings of Rosenbek (1996)
- Butler excellent IRR for 35 swallows with FEES (older)
- Kelly 2007 compared PAS with FEES compared to MBS FEES gave higher (worse) scores than MBS; all scores except PAS of 3
- Conclusions: FEES ratings are just as reliable as MBS - but scores may be different! (tend to be worse)







# DID PENETRATION /ASPIRATION OCCUR **BEFORE** THE SWALLOW?

If before the swallow begins - or just at the initiation of the swallow then *due to mistiming* 

 The BOLUS spilled into the vestibule (penetration) and possibly into the airway (aspiration) before the airway closed off

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# ASPIRATION DURING THE SWALLOW IS due to reduced/ incomplete/ slow laryngeal valving during the swallow By the time whiteout occurs, the laryngeal valve should be closed; The bolus has leaked into the laryngeal vestibule because it was not closed tightly You see evidence of penetration/aspiration after the swallow

# IF YOU SUSPECT ASPIRATION DURING THE SWALLOW......

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1. Look for evidence: coating or residue left behind in the laryngeal vestibule or on the subglottic shelf

2. Ask the patient to cough if aspiration suspected

Is Green material coughed up?

Was the thin liquid bolus bright white +

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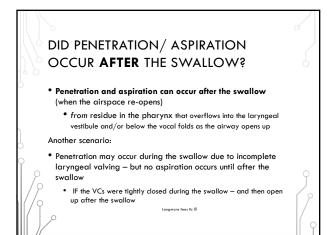
green?

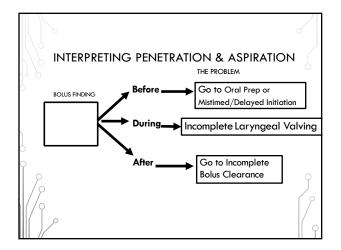
#### SORTING OUT INCOMPLETE LARYNGEAL CLOSURE VS DELAYED LARYNGEAL CLOSURE?

 IF NO PENETRATION IS seen before the swallow begins, but penetration and/or aspiration occur during the swallow

ightarrow laryngeal valving is incomplete/reduced

 IF PENETRATION is seen before white out, →laryngeal valving is delayed (and, of course, could also be incomplete)







# AIRWAY CLOSURE OCCURS IN THIS ORDER (OVERLAPPING)

- Arytenoids tilt forward to contact petiole of epiglottis and cover glottis
- The epiglottis retroflexes and covers the arytenoids
- True vocal folds adduct to seal the glottis

• Different from breath hold

# LARYNGEAL AIRWAY VALVE CLOSURE

- Fluoroscopy: look for...
  - 1. Arytenoids tilt forward to touch base of the epiglottis
  - 2. Epiglottis retroflexion
  - 3. (TVC adduction partial from AP view)

#### FEES: look for...

- 1. Arytenoids tilt forward to touch base of epiglottis
- 2. Epiglottis retroflexion view return to rest
- 3. Airway/glottic closure from Part 1

